

## Fee Schedule

### Initial

\$400	Conversion and take-over of existing plan (1-9 active participants)
\$750	Conversion and take-over of existing plan (10 or more active participants)
\$750	401(k)/Profit Sharing Plan Implementation, Plan Document and Enrollment
\$375	One Person 401(k) Plan Implementation and Plan Document
\$750	403(b) Plan Implementation, Plan Document and Enrollment

### Ongoing

	<b>PLAN:</b>
\$145	Monthly base administration fee for a 401(k) Profit Sharing Plan or 403(b)
\$95	Monthly base administration fee for a Profit Sharing or Money Purchase Plan
\$200	ESOP (Employee Stock Ownership Plan) with or without 401(k) Feature
	<b>PARTICIPANT:</b>
\$3	Monthly participant fee per active account 401(k), ESOP or 403(b)
\$1	Monthly participant fee per active account Profit Sharing Plan or Money Purchase Pension Plan

### Optional

\$10	Monthly fee for 401(k) Safe Harbor feature
\$30	Monthly fee for New Comparability contribution allocation
\$10	Monthly fee for personal brokerage account (per account)

### Miscellaneous (if applicable)

\$350/yr	Large Plan Form 5500 preparation and related schedules
\$150/yr	Life Insurance in plan
\$75	Hourly rate for electronic data manipulation
\$30	Hourly rate for data entry
\$70	Participant distribution/hardship withdrawal/refund fee
\$70	Participant loan origination fee
\$50	Participant loan maintenance fee (\$50 per year of loan repayment)
\$25	Vesting Certification
\$125	Qualifying a Domestic Relations Order (QDRO)
\$25	IRS Form 1099R and Form 6847
\$35	IRS Form 1096 or 945
\$35	Form 5558 Extension of Form 5500
\$200/hr:	<ul style="list-style-type: none"> <li>• Correction of reports, tests, or forms based on incorrect census from client</li> <li>• Failed compliance test corrections</li> <li>• Mid-year or other additional ADP/ACP test</li> <li>• Audit support (IRS, DOL and large plan Schedule H)</li> <li>• Plan amendments</li> <li>• IRS determination letter submissions</li> <li>• Plan termination</li> <li>• Preparation of IRS Form 5330</li> <li>• Calculation of alternate form of benefit</li> </ul>